

Atty Docket No. 011823-002660US

PTO FAX NO.: (703) 746-4989

ATTENTION: Annie L. Holleran

Group Art Unit : 1642

TELEPHONE NO.: 703-305-7230

## OFFICIAL COMMUNICATION

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Landolfi et al., Application No. 09/718,998, filed November 22, 2000 for IMPROVED HUMANIZED IMMUNOGLOBULINS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

#### Document(s) Attached

1. Transmittal
2. Fee Transmittal
3. Preliminary Amendment

Number of pages being transmitted, including this page: 23

Dated: July 25, 2003 (EST)

  
\_\_\_\_\_  
Joe Liebeschuetz

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2422**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Telephone: 650-326-2400 / Fax: 650-326-2422  
PA 3320734 v1

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                        |                   |
|---|------------------------|-------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 09/718,998        |
|   | Filing Date            | November 22, 2000 |
|   | First Named Inventor   |                   |
|   | Art Unit               | 1642              |
|   | Examiner Name          | Anne L. Holleran  |
| Total Number of Pages in This Submission  | Attorney Docket Number | 011823-002660US   |

| ENCLOSURES (Check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks   | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual                         | Townsend and Townsend and Crew LLP<br>Joe Liebeschuetz<br>Reg. No. 37,505 |
| Signature                                  | <i>J. Liebeschuetz</i>  |
| Date                                       | July 25, 2003 EST   |

| CERTIFICATE OF MAILING  |                        |
|---|------------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) on |                        |
| Typed or printed name   | J. LIEBESCHUETZ        |
| Signature   | <i>J. Liebeschuetz</i> |
| Date  | July 25, 2003 (EST)    |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PA 3320648 v1

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1776

Approved for use through 04/30/2003. OMB 0851-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Complete if Known

Application Number 09718,988  
Filing Date November 22, 2000  
First Named Inventor  
Examiner Name Anne L. Holleran  
Art Unit 1842  
Attorney Docket No. 011823-002680US

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:

Deposit  
Account  
Number

20-1430

Deposit  
Account  
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description        |
|--------------|--------------|----------|----------|------------------------|
| 1001         | 750          | 2001     | 375      | Utility filing fee     |
| 1002         | 330          | 2002     | 165      | Design filing fee      |
| 1003         | 520          | 2003     | 260      | Plant filing fee       |
| 1004         | 750          | 2004     | 375      | Reissue filing fee     |
| 1005         | 160          | 2005     | 80       | Provisional filing fee |

Fee Paid

SUBTOTAL (1)

(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fees from below | Fee Paid |
|--------------------|--------------|-----------------|----------|
| 140                | 46**         | 94              | \$1,692  |
| Independent Claims | 12           | 11**            | \$84     |
| Multiple Dependent |              |                 |          |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|--|
| 1202         | 18           | 2202     | 9        | Claims in excess of 20                                     |
| 1201         | 84           | 2201     | 42       | Independent claims in excess of 3                          |
| 1203         | 280          | 2203     | 140      | Multiple dependent claim, if not paid                      |
| 1204         | 84           | 2204     | 42       | ** Reissue independent claims over original patent         |
| 1205         | 18           | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$1776)

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

## FEE CALCULATION (continued)

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description  |
|--------------|--------------|----------|----------|--|
| 1051         | 130          | 2051     | 65       | Surcharge - late filing fee or oath  |
| 1052         | 50           | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |
| 1053         | 130          | 1053     | 130      | Non-English specification  |
| 1812         | 2,520        | 1812     | 2,520    | For filing a request for reexamination                                     |
| 1804         | 820*         | 1804     | 820*     | Requesting publication of SIR prior to Examiner action                     |
| 1805         | 1,840*       | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |
| 1251         | 110          | 2251     | 55       | Extension for reply within first month                                     |
| 1252         | 410          | 2252     | 205      | Extension for reply within second month                                    |
| 1253         | 830          | 2253     | 405      | Extension for reply within third month                                     |
| 1254         | 1,450        | 2254     | 725      | Extension for reply within fourth month                                    |
| 1255         | 1,970        | 2255     | 985      | Extension for reply within fifth month                                     |
| 1401         | 320          | 2401     | 160      | Notice of Appeal   |
| 1402         | 320          | 2402     | 160      | Filing a brief in support of an appeal                                     |
| 1403         | 280          | 2403     | 140      | Request for oral hearing   |
| 1481         | 1,510        | 1451     | 1,510    | Petition to institute a public use proceeding                              |
| 1452         | 110          | 2452     | 55       | Petition to revive - unavoidable   |
| 1453         | 1,300        | 2453     | 650      | Petition to revive - unintentional   |
| 1501         | 1,300        | 2501     | 650      | Utility issue fee (or release)   |
| 1502         | 470          | 2502     | 235      | Design issue fee   |
| 1503         | 630          | 2503     | 315      | Plant issue fee  |
| 1480         | 130          | 1480     | 130      | Petitions to the Commissioner  |
| 1807         | 50           | 1807     | 50       | Petitions related to provisional applications                              |
| 1808         | 180          | 1808     | 180      | Submission of Information Disclosure Sheet                                 |
| 8021         | 40           | 8021     | 40       | Recording each patent assignment per property (times number of properties) |
| 1809         | 780          | 2809     | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 1810         | 750          | 2810     | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 1801         | 750          | 2801     | 375      | Request for Continued Examination (RCE)                                    |
| 1802         | 900          | 1802     | 900      | Request for expedited examination of a design application                  |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

| Name (Print/Type) | Registration No. (Attorney/Agent) | Telephone    |
|-------------------|-----------------------------------|--------------|
| Joe Liebeschuetz  | 37,505                            | 650-326-2400 |

Signature

J. Liebeschuetz

Date

July 25, 2003 (ESF)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1450, PA 3320649 v1

If you need assistance in completing this form, call 1-800-PTO-6112 (1-800-768-6112) and select option 2.

Atty Docket No. 011823-002660US

PTO FAX NO.: (703) 746-4989

ATTENTION: Anne L. Holleran

Group Art Unit : 1642

TELEPHONE NO.: 703-305-7230

## OFFICIAL COMMUNICATION

### CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Landolfi et al., Application No. 09/718,998, filed November 22, 2000 for IMPROVED HUMANIZED IMMUNOGLOBULINS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

#### Document(s) Attached

1. SB/21 Transmittal (1 page)
2. Information Disclosure Statement (19 pages)

Number of pages being transmitted, including this page: 21

Dated: July 25, 2003 EST

  
\_\_\_\_\_  
Joe Liebeschuetz

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY RETURN FACSIMILE AT (650) 326-2422**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Telephone: 650-326-2400 / Fax: 650-326-2422  
PA 3327754 v1

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                        |                 |
|---|----------------------|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/718,998             |                 |
|   | Filing Date          | November 22, 2000      |                 |
|   | First Named Inventor | Landolfi               |                 |
|   | Art Unit             | 1642                   |                 |
|   | Examiner Name        | Anne L. Holleran       |                 |
| Total Number of Pages in This Submission  | 21                   | Attorney Docket Number | 011823-002660US |

| ENCLOSURES (Check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Certificate of Facsimile Transmission |
| Remarks  |  | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual                         | Townsend and Townsend and Crew LLP<br>Joe Liebeschuetz Reg. No. 37,505 |
| Signature                                  | <i>J. Liebeschuetz</i>   |
| Date                                       | July 25, 2003 EST  |

| CERTIFICATE OF MAILING   |                        |
|--|------------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-4989 on July 24, 2003 |                        |
| Typed or printed name  | Joe Liebeschuetz       |
| Signature  | <i>J. Liebeschuetz</i> |
| Date   | July 25, 2003 EST      |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PA 3320765 v1